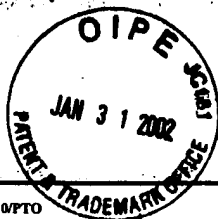


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PTO/SB/01 (6-95) (modified)

Approved for use through 10/31/96

OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0010/PTO
Rev. 6/95

U.S. Department of Commerce
Patent and Trademark Office

**DECLARATION FOR
UTILITY OR DESIGN
PATENT APPLICATION**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

Attorney Docket Number	16319-05452
First Named Inventor	Rod A. Cherkas
COMPLETE IF KNOWN	
Application Number	09/900,485
Filing Date	July 6, 2001
Group Art Unit	Unknown
Examiner Name	Unknown

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**AUTOMATED, USER SPECIFIC TAX ANALYSIS OF
INVESTMENT TRANSACTIONS USING A PERSONAL TAX PROFILE**

the specification of which (Title of the Invention)

☐ is attached hereto
OR

☒ was filed on July 6, 2001 as United States Application Number 09/900,485 and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

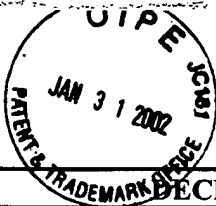
I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 385(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

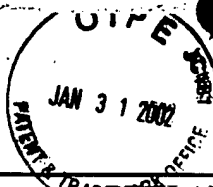
Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.



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DECLARATION				Page 2	
<p>I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.</p>					
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)		
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.					
<p>As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:</p>					
Name		Registration Number	Name		Registration Number
Robert R. Sachs Laura A. Majerus		42,120 33,417	Greg T. Sueoka Amir H. Raubvogel		33,800 37,070
<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.					
<p>Please direct all correspondence to:</p> <p style="text-align: center;">Robert R. Sachs, Esq. Fenwick & West LLP Two Palo Alto Square Palo Alto, CA 94306 U.S.A.</p>					
Telephone	(415) 875-2410		Fax	(415) 875-1350	
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
Name of Sole or First Inventor:			<input type="checkbox"/> A petition has been filed for this unsigned inventor		
Given Name	Rod	Middle Initial	A.	Family Name	Cherkas
Inventor's Signature	<i>Rod A. Cherkas</i>			Date	Oct 12, 2001
Residence: City	San Mateo	State	CA	Country	USA
Mailing Address	144 Spuraway Drive				
Mailing Address					
City	San Mateo	State	CA	Zip	94403
				Country	USA
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto					

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DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Devin	Middle Initial	W.	Family Name	Breise	Suffix e.g. Jr.	
Inventor's Signature				Date	10/29/01		
Residence: City	La Jolla	State	CA	Country	USA	Citizenship	USA
Mailing Address	357 Playa Del Sur #2						
Mailing Address							
City	La Jolla	State	CA	Zip	92037	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Jeffrey	Middle Initial	H.	Family Name	Brown	Suffix e.g. Jr.	
Inventor's Signature				Date	10-26-2001		
Residence: City	San Diego	State	CA	Country	USA	Citizenship	USA
Mailing Address	14362 Mediatrice Lane						
Mailing Address							
City	San Diego	State	CA	Zip	92129	Country	USA

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Elizabeth	Middle Initial	S.	Family Name	Cabrera	Suffix e.g. Jr.	
Inventor's Signature				Date	10/29/01		
Residence: City	San Diego	State	CA	Country	USA	Citizenship	USA
Mailing Address	1351 Tourmaline Street						
Mailing Address							
City	San Diego	State	CA	Zip	92109	Country	USA

16319/05452/SF/5053398.1